

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: **Hai-Zhi SONG**

Serial Number: **Not Yet Assigned**

Filed: **February 20, 2004**

For: **QUANTUM SEMICONDUCTOR DEVICE AND METHOD FOR FABRICATING
THE SAME**

INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

February 20, 2004

Sir:


In compliance with 37 CFR 1.56, Applicant calls to the attention of the Patent and Trademark Office the references listed on the attached PTO-1449.

A copy of each of the references, except for the U.S. patent reference, is enclosed herewith.

In the event there are any fees due in connection with the filing of this paper, please charge Deposit Account No. 01-2340.

Respectfully submitted,

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PATENT TRADEMARK OFFICE

Enclosures: PTO-1449; References (10)

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| INFORMATION DISCLOSURE STATEMENT PTO-1449 | Atty. Docket No. 040070 | Serial No. New Application |
| | Applicant(s): Hai-Zhi SONG | |
| | Filing Date: February 20, 2004 | Group Art Unit: Not Yet Assigned |

U.S. PATENT DOCUMENTS

| Examiner Initial | | Document No. | Name | Date | Class | Subclass | Filing Date (If appropriate) |
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| _____ | AF | 11-150261 | 06/02/99 | Japan | Yes-Abstract |
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OTHER DOCUMENTS

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| _____ | AK | T. Fujisawa et al.' "Transient current spectroscopy of a quantum dot in the Coulomb blockade regime"; <i>Physical Review B</i> ; Vol. 63; 081304(R); 2001; 4 pages. | | |
| _____ | AL | Abstract of WO 99/66562 published December 23, 1999/Corresponds to Japanese document number 2002-518851. | | |
| <table border="1" style="width: 100%;"> <tr> <td style="width: 50%;">Examiner</td> <td style="width: 50%;">Date Considered</td> </tr> </table> | | | Examiner | Date Considered |
| Examiner | Date Considered | | | |